



# A TOOLKIT FOR BENCHMARKING

**Tailor made analysis and  
benchmarking for national  
policy makers.**



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## Introduction

U-Multirank has a wealth of information on the performance of higher education institutions and programmes. Presenting data on more than 1,700 higher education institutions from all over the world, U-Multirank has developed the largest global data base on institutional performance in higher education, combining data from bibliometric and patent data bases with unique data on more than 30 indicators. One of the major assets of U-Multirank is the broad set of indicators not only covering research, but also teaching and learning, knowledge transfer, international orientation and regional engagement. U-Multirank includes a broad range of institutions with different missions and profiles, making the diversity in higher education visible. Its unique mapping tool allows to identify similar institutions based on 12 empirical indicators describing institutional profiles. For a number of countries, the coverage of national institutions in U-Multirank is high to (almost) full coverage. On this basis U-Multirank can offer useful empirical analysis and benchmarking to national policy makers and authorities.

The existing U-Multirank web tool opens up all of these options but it is mainly geared towards students and individual institutions. We have learned that other user groups experience a steep learning curve to extract the information they are looking for. This paper demonstrates additional options that U-Multirank can provide to national policy makers to tap into the wealth of information U-Multirank holds.

*U-Multirank offers a tool-kit that provides tailor made instruments and analysis, taking into account the particular needs and contexts of individual countries. This tool-kit can particularly be applied in countries with a high coverage of institutions.*

In order to define a tailor-made analysis, national authorities/policy makers have several options:

- (i) Decide whether you wish to compare HEIs at national level or internationally.
- (ii) Identify the purpose of the analysis: are you interested in benchmarking your national *institutions* against similar or different individual institutions (in your own system or from various other countries) or would you like to compare your higher education *system* with particular other national systems or regions (e.g. EU, Europe).
- (iii) Specify the level of analysis (institutional, subjects) and the dimensions and indicators you wish to compare out of the full range of 5 dimensions and more than 30 indicators.

## Levels of Comparison

There are two general patterns of comparison which we will customise to individual requirements:

- I. Institutional benchmarking:  
With its rich data set U-Multirank offers unique benchmarking opportunities on the level of individual institutions. U-Multirank offers both an in-depth *national* analysis and *international* comparisons of the performance of institutions, their strengths and weaknesses across the five performance dimensions covered by U-Multirank. This can be done either for individual institutions or on an aggregated level for specifically defined institutional profiles or types of institutions (i.e. UAS, specialised universities, research intensive universities). This analysis can inform the development of strategies to improve institutional performance and quality, identify possible weaknesses and further existing strengths.

Comparing national universities with global/European top performers on certain aspects and indicators allows the identification of profile characteristics linked to such a top performance and can thus help to develop the performance of an institution further.

II. System benchmarking:

For some higher education systems with high coverage U-Multirank allows for comparisons at the system level as well – either for full systems or disaggregated by profiles and types of institutions (for example, universities and polytechnics/universities of applied science). Which dimensions are strong in your country compared to other individual countries or in comparison with the total of global/European higher education institutions?

For both approaches U-Multirank offers unique data on a wide range of more than 30 indicators from five dimensions, both on institutional and subject level (see appendix). In addition, unpublished data from the U-Multirank data base can be analysed, as well as additional national data (provided by national authorities) and international data bases (e.g. ETER).

### **Example 1: institutional level - Slovenia**

U-Multirank started a pilot study in cooperation with the Slovenian Ministry for Education. The aim (formulated by the Ministry) is to benchmark Slovenian universities against a sample of similar universities from other countries. The pilot study illustrates the tailor-made approach of the U-Multirank benchmarking toolkit.

1. The Ministry selected the three public universities to be included.<sup>1</sup> In terms of the U-Multirank mapping indicators, they share some profile characteristics (public, comprehensive, PhD awarding), but differ in size and age.

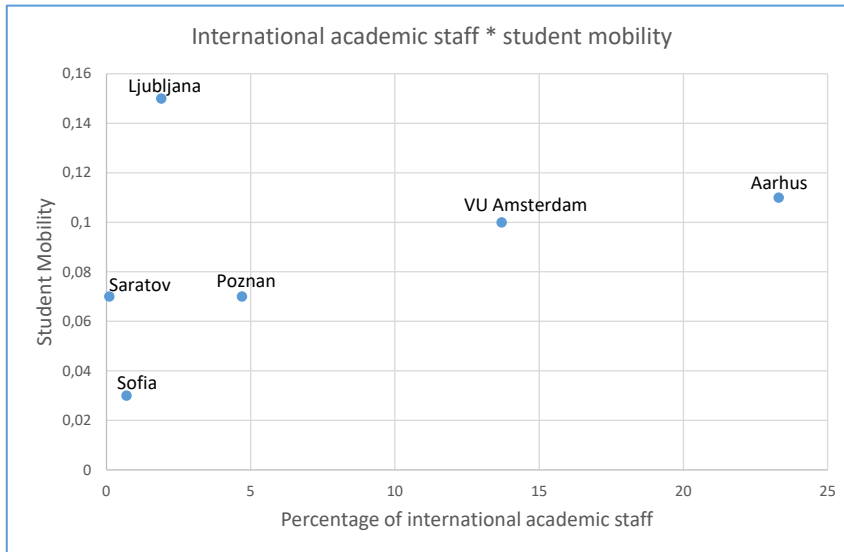
Name	Age of institution	Legal status	Level of study	Scope	Size of institution
University of Ljubljana	1870-1945	public	bachelor or master or doctorate	comprehensive	very large
University of Maribor	1945-1980	public	bachelor or master or doctorate	comprehensive	large
University of Primorska	post 1980	public	bachelor or master or doctorate	comprehensive	small

<sup>1</sup> The fourth, University of Nova Gorica, is classified as private, government dependent. It is not included into this analysis.



University of Primorska has the highest bachelor graduation rate among the three Slovenian universities. Within its benchmarking sample it is only outperformed by University of Klaipeda. The other two universities have lower bachelor graduation rates than most of their peer institutions.

Example 2: Relation between two indicators



**Results:** U-Multirank data for the three benchmarking samples show that in general universities with a higher percentage of international academic staff tend to have higher levels of student mobility. But this is not the case for the Slovenian universities. Compared to their low percentages of international academic staff they score high on student mobility, in particular University Ljubljana.

Example 3: At a glance view on performance profiles

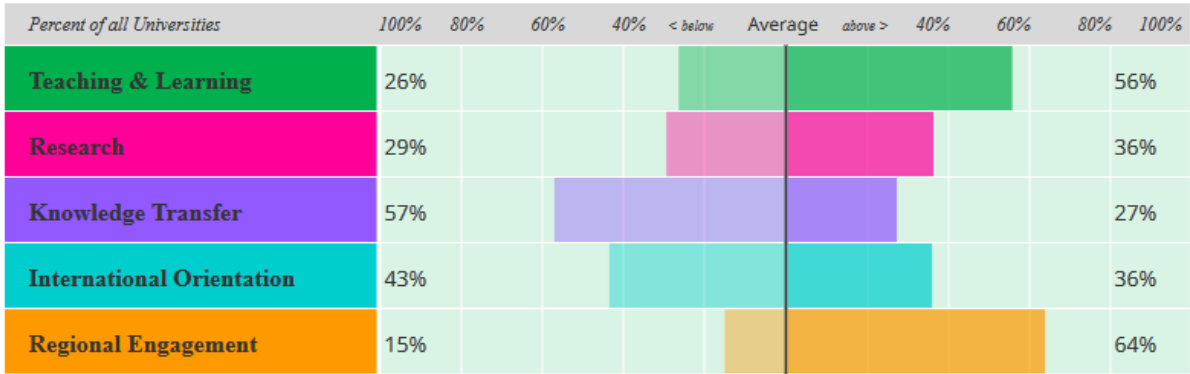


The U-Multirank sunburst chart provides an at a glance illustration of institutional performance across all indicators. The colours mark the different dimensions; each bar represents one indicator. The length of the bar indicates the performance group; a full length bar meaning top performance group, a small (one-unit) bar bottom group (an empty bar means that data are missing). Compared to University of Maribor the two Norwegian universities (Tromsø, Bergen) perform better on international orientation. Maribor shows strong performance in the research dimension and for selected indicators in knowledge transfer and international orientation. On the other hand the Maribor results in teaching fall behind most of its benchmark institutions.

**Example 2: System level -Spain**

For countries with high coverage of institutions U-Multirank offers analysis on the *system* level as well. In Spain U-Multirank has reached a coverage of over 90 % (75 HEIs) among all public, private and catholic universities. Both in our country analysis as part of the U-Multirank media packages and on our new “Study in...” section on the U-Multirank website, we already presented an aggregate analysis of the country’s performance on the five dimensions by showing the percentages of rank groups of all Spanish universities above global average (rank groups “A” and “B”) and below average (groups “D” and “E”).

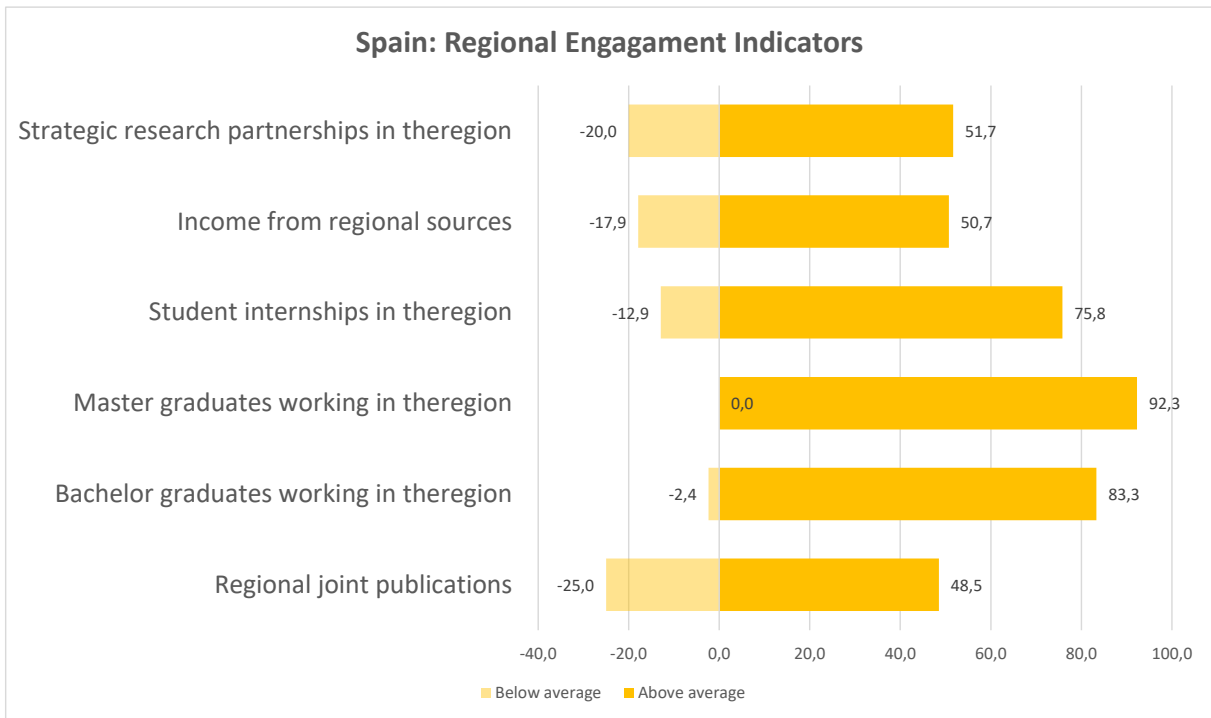
*Spain: Country performance by dimensions*



Compared to the whole U-Multirank sample (of 1,600+) institutions Spanish universities score a high number of top positions (groups A and B) in teaching and learning and in regional engagement, and - to a smaller degree - in research. In international orientation and, particularly, knowledge transfer the number of rank group positions below average (D and E) is higher than the number of positions above average.

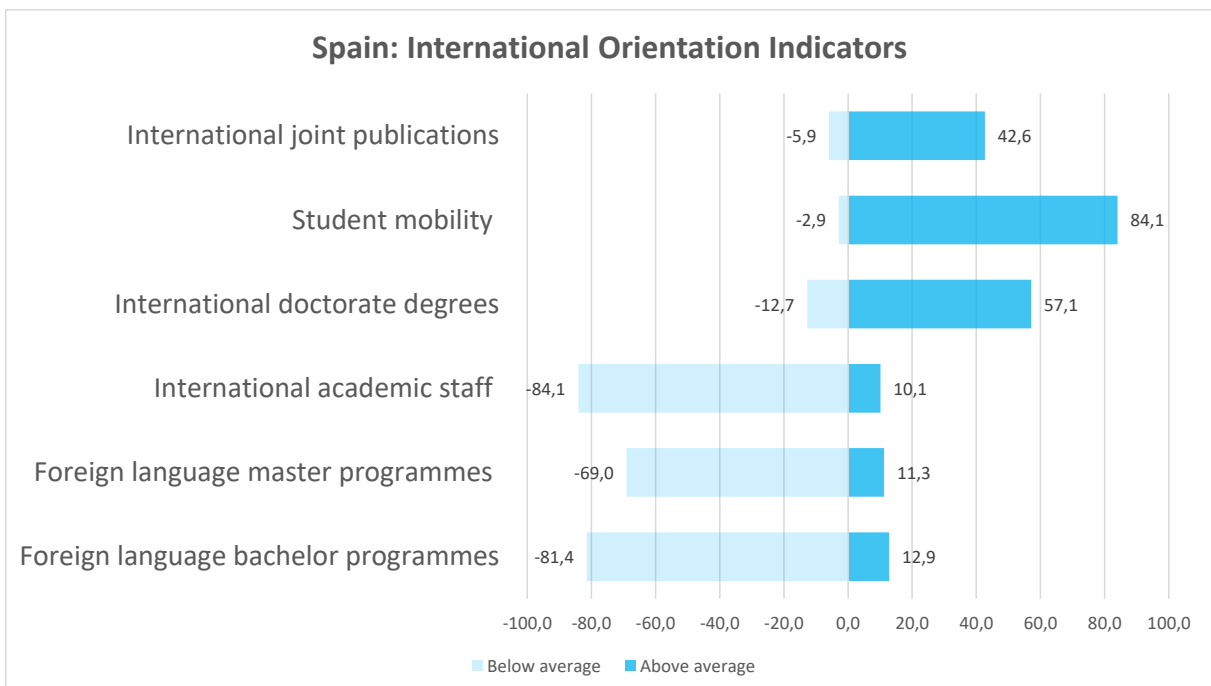
A detailed analysis of the regional dimension indicators (see illustration below), one of the strong dimension of performance of the Spanish system, shows strong connections to the regions in terms of teaching and regional employability issues. Spanish universities are very strong in producing graduates, both on bachelor and master level, for regional labour markets. In addition, internships in regional companies play a major role. But the linkages between universities and their region are also strong with regard to research (as indicated by joint-publications with co-authors from the region and by regional strategic research partnerships).

*Spain: Country performance in regional engagement*



An analysis by single indicators of the international orientation dimension show that the overall below average results on the dimension level (see above) are mainly linked to the small numbers of English language degree programmes (both Bachelor and Master) and international academic staff, while student mobility (including at the PhD level) is a particular strength of the Spanish higher education system (which is also confirmed by ERASMUS mobility data). In addition Spain performs strong in international joint publications.

*Spain: Country performance in international engagement*





## Overview: U-Multirank Mapping Indicators

Teaching & Learning					
<b>Expenditure on teaching (%)</b> ?	low		medium	high	
<b>Graduate students</b> ?	none	low	medium	high	
<b>Scope</b> ?	specialised		broad	comprehensive	
<b>Level of study</b> ?	bachelor		master	doctorate	
<b>Specialised in</b> ?	Education	Humanities and arts	Social sciences	Engineering	Science
	Agriculture	Health and social services	Services	Business and law	ICTs
Research					
<b>Expenditure on research</b> ?	none	low	medium	high	
Knowledge Transfer					
<b>Income from private sources</b> ?	none	low	medium	high	
International Orientation					
<b>Foreign degree seeking students</b> ?	none	low	medium	high	
Regional Engagement					
<b>New entrants from the region</b> ?	none	low	lower medium	upper medium	high
General					
<b>Size of institution</b> ?	small	midsize	large	very large	
<b>Legal status</b> ?	public		private	government dependent private	
<b>Age of institution</b> ?	pre 1870	1870-1945	1945-1980	post 1980	

## Overview: U-Multirank Indicators Institutional Ranking

<b>Teaching &amp; Learning</b>	<b>Select all</b>	<b>Research</b>	<b>Select all</b>
<input type="checkbox"/> Student-staff ratio <input type="checkbox"/> Graduating on time (bachelors) <input type="checkbox"/> Graduating on time (masters) <input type="checkbox"/> Academic staff with doctorates <input type="checkbox"/> Contact with work environment (bachelors) <input type="checkbox"/> Contact with work environment (masters)		<input type="checkbox"/> External research income <input type="checkbox"/> Doctorate productivity <input type="checkbox"/> Research publications (absolute numbers) <input type="checkbox"/> Citation rate <input type="checkbox"/> Top cited publications <input type="checkbox"/> Interdisciplinary publications <input type="checkbox"/> Research orientation of teaching	
<b>Teaching &amp; Learning (Students' views)</b>	<b>Select all</b>	<b>International Orientation</b>	<b>Select all</b>
<input type="checkbox"/> Overall learning experience <input type="checkbox"/> Quality of courses & teaching <input type="checkbox"/> Organisation of program <input type="checkbox"/> Contact with teachers <input type="checkbox"/> Inclusion of work/practical experience <input type="checkbox"/> Library facilities <input type="checkbox"/> IT provision <input type="checkbox"/> Room facilities		<input type="checkbox"/> International orientation of bachelor programmes <input type="checkbox"/> International orientation of master programmes <input type="checkbox"/> Opportunities to study abroad <input type="checkbox"/> International doctorate degrees <input type="checkbox"/> International joint publications <input type="checkbox"/> International research grants	
<b>Knowledge Transfer</b>	<b>Select all</b>	<b>Regional Engagement</b>	<b>Select all</b>
<input type="checkbox"/> Income from private sources <input type="checkbox"/> Co-publications with industrial partners <input type="checkbox"/> Publications cited in patents		<input type="checkbox"/> Student internships in the region <input type="checkbox"/> Regional joint publications	

## Overview: U-Multirank Indicators Subjects Rankings

<b>Teaching &amp; Learning</b>	<b>Select all</b>	<b>International Orientation</b>	<b>Select all</b>
<input type="checkbox"/> Student-staff ratio <input type="checkbox"/> Graduating on time (bachelors) <input type="checkbox"/> Graduating on time (masters) <input type="checkbox"/> Academic staff with doctorates <input type="checkbox"/> Contact with work environment (bachelors) <input type="checkbox"/> Contact with work environment (masters)		<input type="checkbox"/> International orientation of bachelor programmes <input type="checkbox"/> International orientation of master programmes <input type="checkbox"/> Opportunities to study abroad <input type="checkbox"/> International doctorate degrees <input type="checkbox"/> International joint publications <input type="checkbox"/> International research grants	
<b>Teaching &amp; Learning (Students' views)</b>	<b>Select all</b>	<b>Research</b>	<b>Select all</b>
<input type="checkbox"/> Overall learning experience <input type="checkbox"/> Quality of courses & teaching <input type="checkbox"/> Organisation of program <input type="checkbox"/> Contact with teachers <input type="checkbox"/> Inclusion of work/practical experience <input type="checkbox"/> Library facilities <input type="checkbox"/> IT provision <input type="checkbox"/> Room facilities		<input type="checkbox"/> External research income <input type="checkbox"/> Doctorate productivity <input type="checkbox"/> Research publications (absolute numbers) <input type="checkbox"/> Citation rate <input type="checkbox"/> Top cited publications <input type="checkbox"/> Interdisciplinary publications <input type="checkbox"/> Research orientation of teaching	
<b>Regional Engagement</b>	<b>Select all</b>	<b>Knowledge Transfer</b>	<b>Select all</b>
<input type="checkbox"/> Student internships in the region <input type="checkbox"/> Regional joint publications		<input type="checkbox"/> Income from private sources <input type="checkbox"/> Co-publications with industrial partners <input type="checkbox"/> Publications cited in patents	

## ABOUT U-MULTIRANK

U-Multirank is developed and implemented on the initiative of the European Commission by an independent consortium led by the Centre for Higher Education (CHE) in Germany, the Center for Higher Education Policy Studies (CHEPS) at the University of Twente and the Centre for Science and Technology Studies (CWTS) from Leiden University, both in the Netherlands, as well as the Foundation for Knowledge and Development (Fundación CYD) in Spain. The consortium is headed by Professors Dr. Frans van Vught of CHEPS and Dr. Frank Ziegele of the CHE.

Associate partners include specialist organisations (e.g. data collection, web design) and stakeholder organisations.

Lead Partners:

- [Centre for Higher Education \(CHE\)](#)
- [Center for Higher Education Policy Studies \(CHEPS\)](#)
- [Centre for Science and Technology Studies \(CWTS\)](#)
- [Fundación CYD](#)

Associate Partners:

- [Conference of European Schools for Advanced Engineering Education and Research](#)
- [European Student Union \(ESU\)](#)
- [Erasmus Student Network \(ESN\)](#)
- [Folge 3](#)

Financial Partners:

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